Register of Credit Rating Agency Registration Numbers

Financial Service Agency

Registration number	Date of registration	Name of credit rating agency
(Rating) No.___	(YYYY/MM/DD)	

(Note) If registration has been rescinded, draw a line through the entry.

Document number:

Date:

(Trade name)

To: (Full name of representative person)

Commissioner of the Financial Services Agency

Registration of credit rating business

In accordance with Article 57(3) of the Financial Instruments and Exchange Act as applied mutatis mutandis pursuant to Article 66-48 of the same act, I hereby notify that registration regarding the application filed on (YYYY/MM/DD) has been completed as follows:

Date of registration: (YYYY/MM/DD)

Registration number: Commissioner of the Financial Services Agency (Rating) No.___

Document number:

Date:

(Trade name)

To: (Full name of representative person)

Commissioner of the Financial Services Agency

Refusal of registration of credit rating business

In accordance with Article 57(3) of the Financial Instruments and Exchange Act as applied mutatis mutandis pursuant to Article 66-48 of the same act, I hereby notify that the application for registration of credit rating business filed on (YYYY/MM/DD) has been refused on the following grounds.

If you are dissatisfied with the refusal, you may request the Commissioner of the Financial Services Agency to conduct an inspection under the Administrative Appeal Act (Law No. 68, 2014) within three months after the date on which you become aware of the refusal.

If you demand the rescission of this action in a legal proceeding, you may file an action against the national government for rescission under the Administrative Appeal Act (Law No. 139, 1962) within six months after the date on which you become aware of the refusal.

Grounds for refusal

Schedule for Inspecting the Registry of Credit Rating Agencies

Inspection date	Full name of person inspecting registry	Address of person inspecting registry Telephone number	Registration number	Name of credit rating agency	Time out	Time in	Check